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Application Number 10/696,282 Filing Date October 29, 2003 **TRANSMITTAL** First Named Inventor James M. Wilson et al **FORM** Group Art Unit 1635 (to be used for all correspondence after initial filing) **Examiner Name** Brian A. Whiteman Attorney Docket Number UPN-GNV31BUSA/K1774DIV1 Total Number of Pages in this Submission **ENCLOSURES** (check all that apply) Drawing(s) Fee Transmittal Form ☐ After Allowance Communication to Group Fee Attached Licensing-related Papers Appeal Communication to Board Petition of Appeals and Interferences ☐ Amendment/Reply Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert to a **Provisional Application** Affidavits/declaration(s) Proprietary Information Power of Attorney, Revocation Change of Correspondence ☐ Extension of Time Request Status Letter Express Abandonment Request Other Enclosure(s) (please Terminal Disclaimer identify below): Information Disclosure Statement Request for Refund 1-Reference Certified Copy of Priority CD, Number of CD(s) Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Howson and Howson Cathy A. Kodroff Individual Name Signature February 24, 2004 Date **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450; Alexandria, VA 22313-1450 on this date: Typed or printed name Signature

This collection of information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

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UPN-GNV31BUSA/K1774DIV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of James M. Wilson et al) Group Art Unit: 1635		
James IVI. Wilson et al	Examiner: Brian A. Whiteman		
Appln. No. 10/696,282)		
Filed: October 29, 2003)		
For: ADENO-ASSOCIATED VIRUS SEROTYPE 1 NUCLEIC ACID SEQUENCES, VECTOR AND HOST CELLS CONTAINING SAME	S)		
Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450			

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant submits to the Examiner the attached Form PTO/SB/08A document listing and this paper pursuant to 37 CFR § 1.56 and § 1.97-1.98. Form PTO/SB/08A is attached and a copy of the document is enclosed herewith. This Information Disclosure Statement is submitted more than three months from the filing date of this application and before the receipt of a first Office Action on the merits. Therefore, no fees are believed due.

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

Express Mail No. EU531574467US

The Examiner is respectfully requested to consider the enclosed document identified in this paper and in the attached Form PTO/SB/08A during the course of examination of this application.

Respectfully submitted,

HOWSON AND HOWSON Attorneys for Applicant

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Substitute for form 1449/PTO		Complete if Known			
		Application Number	10/696,282		
INFORMATION DISCLOSURE		Filing Date	October 29, 2003		
STATEMENT BY APPLICANT (Use as many sheets as necessary)		First Named Inventor	James M. Wilson, M.D., Ph.D.		
		Group Art Unit	1635		
		Examiner Name	Brian A. Whiteman		
Sheet	1	of	1	Attorney Docket Number	GNV31BUSA/K1774DIV1

	U.S. PATENT DOCUMENTS					
		Document Number		Name of		
Examiner Initials*	Cite No. ¹	Number-Kind Code ^{2(if} known)	Publication Date MM-DD-YYYY	Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Relevant Figures Appear	
	CCC	US-2002-0159978 A1	10-31-2002	Allen		

-		FOREI	GN PATENT DOCUMENTS			
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T _e
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Examiner	Date	
Signature	Consid	dered

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. this collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, 22313-1450.** If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.